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ACCOUNTANTS AND FSA COMPLIANCE OF GENERAL INSURANCE

Vision introduces a range of specialist compliance services.

Vision is pleased to announce their agreement with Compliance Solutions to provide a range of compliance services to agents of the Vision Group.

For our accountants, we have negotiated a special healthcheck service to assess the standards within your practice. This will involve an on-site visit by an experienced compliance consultant who will assess your business in the following ways:

- Examination of business practices specifically for the promotion of Taxation Disputes Insurance and advising upon the minimising of risk of non-compliance with the ICAEW commission disclosure rules.
- Assessment of compliance with the ICAEW Designated Professional Body (DPB) handbook requirements.
- Review of procedures for Money Laundering prevention and training. Recommendations for improvements.
- Review of record keeping and documentation standards.
- Assessment of business practices in relation to the proposed changes to the DPB regime specifically for mortgage and general insurance work.
- Examination of annual DPB compliance review required by the ICAEW.
- Assessment of your standards against those regarded as best practice for investment advice against FSA standards.

A specially negotiated fee has been agreed with Compliance Solutions for this work which is dependant upon the size of your practice and location. Typical fees are as follows:

Sole practitioner practice £750 plus VAT

2-3 partner practice £1,000 plus VAT

4-6 partner practice £1,250 plus VAT

6+ partner practice £1,500 plus VAT

Contact Dave Wooding now to book your healthcheck!

We look forward to hearing from you.

A handwritten signature in black ink, appearing to read "Randolph Murray", written over a horizontal line.

Randolph Murray. ACII
Chartered Insurer
Chairman